- 1 A No.
- 2 O Not only there wasn't a discussions, what you are
- 3 telling us is public record. If a business competitor
- 4 wanted to do the research, they could find that information
- 5 out, couldn't they?
- 6 A Yes.
- 7 Q And if they wanted to hold up a business
- 8 competitor, they could then file a petition with the FCC to
- 9 cause government scrutiny and perhaps slow down their
- 10 competitor's attempts to expand their T-band business; isn't
- 11 that correct?
- 12 A It would seem so.
- 13 Q When the applications were submitted in June of
- 14 1996, you can either look at Exhibit 66, that's fine, those
- 15 were the addresses provided to PCIA; is that correct?
- 16 A That is correct.
- 17 Q Okay. And you previously testified that your
- 18 recollection PCIA would have -- it would have been their
- 19 practice to mail whatever documents they needed to mail to
- these applicants at these addresses?
- 21 A That's their customary routine.
- Q Okay. And that was your state of mind at the time
- you submitted these applications?
- 24 A Yes, it was.
- 25 Q Did Mr. Ronald Brasher ever bring up the topic of

- 1 how to cause the mailings to go to anyplace else other than
- 2 these very addresses?
- 3 A No.
- 4 Q In addition to the PCIA mailings, were you aware
- 5 at that time that the FCC also would have sent
- 6 correspondence to these applicants?
- 7 A They would have sent them the final license.
- 8 Q And if there was any, let's say a Form 800
- 9 construction letter or construct letter, would that have
- 10 gone there?
- 11 A Yes, it would have.
- 12 Q In fact, any dealings or any correspondence the
- 13 FCC would have needed to send to these licensees or
- 14 applicants would have gone to this address?
- 15 A That is correct.
- 16 Q Okay. And those addresses and those applicants
- 17 and ultimately the licensees, you testified that information
- would have been available from the public record, is that
- 19 correct, or -- I'm sorry. Let me back up and ask you that.
- 20 Could someone have, and I won't say someone, a
- 21 vendor have come by one of these names with this address to
- 22 send them information regarding a particular vendor service
- 23 relating to the radio business?
- 24 A Yes. That information -- typically, once an
- application goes to the Commission, it's generally,

- depending on the FCC's backlog, it may take 10 days or two
- weeks or less before it's entered into their databases. And
- 3 from that point it's available from FCC databases or through
- 4 other companies that offer these database services. There
- 5 is a couple of them.
- 6 There is one of them in particular that I use that
- 7 gets a fresh download from the FCC every night, and up until
- 8 very recently I have used that in preference to the FCC
- 9 database because it's been a little bit more user friendly,
- and it gave the data in a format that I liked a little bit
- 11 better.
- But yes, the answer is it would be available once
- 13 it's keyed into the FCC databases from several sources.
- 14 Q Okay. Again, if there was information where a
- vendor in the communications industry wanted to communicate
- with an applicant, they would have access to that from
- 17 publicly available sources at that address?
- 18 A Yes, that's correct.
- 19 On Exhibit 66, page 3, I believe, I just want to
- 20 make sure I understood that your testimony was Ron Brasher
- 21 requested 10 different frequencies as a result of his
- 22 research and with discussions with you; is that correct?
- 23 A That's correct. That was -- as best as my memory
- serves me, that frequency research was probably a combined
- effort on his part and my part.

- 1 Q Okay. The 10 names used for the application, is
- that on the right-hand side of page 3? Do you see that?
- 3 A Yes.
- 4 Q Is it your understanding there was not a
- 5 particular significance which name went with any particular
- 6 frequency?
- 7 A Not to my knowledge.
- 8 JUDGE STEINBERG: I don't remember it that way. I
- 9 remember it that -- oh, particular significance?
- MR. PEDIGO: Right.
- JUDGE STEINBERG: Okay.
- 12 BY MR. PEDIGO:
- 13 Q I mean, for example, Metroplex, the second name,
 - 14 could have been switched out with Jennifer Hill; is that
 - 15 correct?
 - 16 A I suppose. He just told me to do them that way.
 - 17 JUDGE STEINBERG: He told you to associate
 - 18 484.2875 with DLB?
 - 19 THE WITNESS: That's correct, Your Honor.
 - JUDGE STEINBERG: And the rest with those
 - 21 specifically --
 - 22 THE WITNESS: Specifically.
- JUDGE STEINBERG: -- by name?
- 24 THE WITNESS: Yes, and if there was any specific
- reason for that, I'm not aware of it.

- 1 BY MR. PEDIGO:
- 2 Q And not only on behalf of Ron Brasher, you're not
- 3 aware of any significance in the application process that
- 4 would cause you to want to put Metroplex with that
- 5 particular frequency --
- 6 A No.
- 7 O -- rather than Jennifer Hill?
- 8 A No.
- 9 Q Okay. You answered some questions about Ron
- 10 Brasher describing a need to expand Metroplex's business
- into Allen, Texas area. Do you recall that?
- 12 A Yes.
- Q Okay. So I just wanted to be clear, there was
- never a discussion with him where he said he wanted to
- obtain licenses merely to resell them to other radio
- 16 businesses, is that --
- 17 A No.
- 18 Q Okay. And are you familiar with the term
- "spectrum warehousing"?
- 20 A Yes, I am.
- 21 Q And how do you understand that term?
- 22 A I have to be very careful about this because there
- are certainly guilty people in that respect.
- 24 My understanding is companies that apply for
- 25 licenses with the sole intent of reselling those licenses at

- a substantial profit, and they have no intent of ever
- 2 constructing those license or offering a service to the
- public. And I might add that that process has messed up the
- 4 integrity of the entire licensing process.
- 5 Q But that kind of, or that particular type of abuse
- 6 was not at all related to these licenses?
- 7 A I was never aware of any of that type of abuse.
- 8 MR. KNOWLES-KELLETT: Objection; relevance, Your
- 9 Honor. It's not relevant to any of the issues in this case,
- 10 I don't think, this last question.
- MR. PEDIGO: Your Honor, if they want to stipulate
- that there was no abuse of process, we will be glad to.
- JUDGE STEINBERG: Well, I'll overrule the
- 14 objection. The answer was given before the objection was
- 15 made.
- BY MR. PEDIGO:
- 17 Q At the time these applications were prepared or
- 18 approximately -- let me back up a minute.
- 19 How long would it take to prepare an application?
- 20 A Depending on my backlog, normally I get
- 21 applications put together within two to three days.
- 22 Q Okay.
- 23 A But that doesn't mean it took two to three days to
- 24 do it.
- 25 Q And in this case the applications had a lot of

- 1 similarities to them; is that correct?
- 2 A Yes.
- 3 Q I mean, in terms of lat/long?
- 4 A Yes.
- 5 Q Control point?
- 6 A Yes.
- 7 Q So is it fair to say that you were able to create
- 8 kind of a shell document and then modify it to some respects
- 9 for each of the 10 licensees?
- 10 A Probably so.
- 11 Q And you recall having done some of that work prior
- to receiving this list of names on, I think you testified
- 13 June 12th?
 - 14 A No.
 - 15 Q Well, the research, for example, about the
 - 16 frequencies you were going to use.
 - 17 A Oh, yes. Yes.
 - 18 Q Okay.
 - 19 A Yes, we probably these applications were
 - requested, as I remember, based on whatever frequency
 - 21 research was done by Ron Brasher or me.
 - Q Okay. So this process had been ongoing for some
 - period of time prior to receiving these names; is that
 - 24 correct?
 - 25 A Probably so.

- 1 Q I mean, is it fair to say that receiving the names
- is one of the last things you needed to do to complete each
- 3 application?
- A As I recall, what I was told, and I think I said
- 5 this before, when Ron Brasher specified which licensees were
- to be associated with which frequencies, at that point I
- 7 said I'm going to need their names and addresses, and that
- 8 fax, which is page 1 and 2, followed, and then I proceeded
- 9 to put the applications together.
- 10 Q Okay. All right. And if you could look at page 4
- of that same exhibit please, Exhibit 66.
- 12 A All right.
- 13 O You see the PCIA coordination numbers there
- 14 handwritten in; is that correct?
- 15 A Yes, I do.
- 16 Q Okay. Did you testify whose handwriting that was?
- 17 I don't recall.
- 18 A I didn't.
- 19 Q Okay. Do you know?
- 20 A It wasn't my handwriting and it was probably
- 21 either the handwriting of a girl that I had working for me
- 22 at the time or my wife, and I'm not really sure which.
- 23 Q If you look at let's say the Metroplex PCI control
- 24 number, do you understand that there is any logic in that
- 25 series of numbers?

- 1 A Yes.
- 2 Q And what do you understand the logic to be?
- 3 A The logic is that that application was entered
- 4 into the PCIA database in the year 1996.
- 5 Q Let me stop you there. How do you know that?
- 6 A Because I know what their -- I know what their
- 7 sequence is.
- 8 Q Right. Well, how do you know that from looking at
- 9 this series of numbers?
- 10 A The first two digits --
- 11 Q Thank you.
- 12 A -- indicate the year.
- 13 Q Okay.
- 14 A The next three digits indicate the day of the
- 15 year.
- 16 Q Is this commonly referred to as the Julian date?
- 17 A Yes, it is.
- 18 Q And this would have been the 176th day; is that
- 19 correct?
- 20 A Right.
- 21 Q So if we -- not checking this on a calendar, but
- 22 if we assume June 30th is the 180th day of the year, does
- 23 this tell us that some time in the last couple days of June
- these would have been received?
- 25 A That's what it -- yes, whatever that works out to

- 1 be.
- 2 Q Okay.
- A And the last digits are an internal PCIA that has
- 4 to do with factors like how many applications they have
- 5 processed that day and that sort of thing.
- 6 Q Okay.
- 7 A But the first digits indicate the year and the day
- 8 of the year.
- 9 Q Okay. So if we look at all of these PCIA control
- 10 numbers one through nine, we see that they were received in
- 11 that same batch and the same control numbers under those
- 12 last four digits; is that correct?
- 13 A Yes.
- 14 Q When these applications went in and you were aware
- that they were all going to be operated by DLB
- 16 Enterprises/Metroplex Two Way Radio; is that correct?
- 17 A No.
- 18 Q Okay, when you saw the control point -- well,
- where the control station was at 2244 Larson Lane, right?
- 20 A Yes.
- 21 Q Okay. And what did you understand that to be?
- 22 A That's the office of Metroplex Two Way Radio.
- Q Okay. But how did you understand that in relation
- 24 to these applications?
- 25 A I really wasn't quite sure because for the most

- 1 part I didn't and still don't know who most of these people
- 2 are.
- 3 Q Right. Did you ask who they were?
- 4 A No.
- 5 Q I take it you don't need to know that to do the
- 6 application process?
- 7 A That's -- this goes into -- in my business I have
- 8 to deal in a manner that's similar to the way that the
- 9 Federal Communications Commission deals. When they receive
- an application, although it's not wise for any of us, we all
- 11 make an assumption that if Bill Jones signed that
- 12 application, that it's really Bill Jones applying for a
- license, and that signature of Bill Jones is an authentic,
- 14 valid signature.
- If we don't make that assumption, then we indeed
- do destroy the integrity of the whole process. So I don't
- 17 need to know who an applicant is.
- 18 Now, if Ron Brasher tells me that these people,
- whoever they are, are eligible to hold a license, then I'm
- 20 going to submit the application because there is a
- 21 certification statement on the application where the
- 22 applicant testifies that he is eligible and he's not subject
- 23 to denial of benefits, et cetera, et cetera.
- 24 So here again that goes into -- that goes into a
- legal counselor's process that I don't get involved in.

- 1 Q Right, and that's my question. That's not part of
- the discussion you had with him?
- 3 A No.
- 4 Q And the reason that didn't come up is because
- 5 that's not your role?
- 6 A No, it is not my role.
- 7 Q Okay. And so whether a signature was authorized
- 8 to be put on there or not, that was just not any of your
- 9 concern at that time?
- 10 A No, it is not.
- 11 Q Are you aware of any requirement that -- well, let
- 12 me back up.
- 13 You did know that the Brasher family was
- submitting several applications; is that correct?
- 15 A Yes.
- 16 Q Okay. And the other applications were in the name
- of their company; is that correct?
- 18 A Yes.
- 19 Q Okay. And as far as you knew, that didn't cause
- any problem that they were related parties there?
- 21 A Could you rephrase that? I'm not sure what you
- 22 are asking.
- Q Well, the fact that these applications are all
- 24 going in at the same time and the family members are
- 25 involved or a d/b/a is used. That wasn't any concern for

- 1 you, was it?
- 2 A That's not a concern to me. And that gets more
- 3 into things like whatever the aggregation limits are for
- 4 certain portions of the spectrum, and that's not the role
- 5 that I play.
- 6 Q Okay. And you've worked with Ron Brasher for
- 7 years; is that correct?
- 8 A Yes.
- 9 Q And he would know then that that's not the kind of
- opinion about the propriety or whether it's not proper to do
- 11 that, he would understand that's not the rule you were
- 12 trying to play?
- 13 A No.
- MR. KNOWLES-KELLETT: Object.
- 15 JUDGE STEINBERG: Okay, you have to be louder.
- MR. KNOWLES-KELLETT: Okay.
- JUDGE STEINBERG: The objection is sustained
- 18 because this witness doesn't know what Ron Brasher would
- 19 know. He would know this, he would know that. You might
- 20 ask some foundation questions.
- MR. PEDIGO: Okay. I think we have covered that,
- 22 Your Honor, in the past they had never talked about that.
- JUDGE STEINBERG: Okay. You don't know what Ron
- 24 Brasher knows and what Ron Brasher doesn't know?
- THE WITNESS: That's correct, Your Honor.

- JUDGE STEINBERG: You have to be quicker.
- 2 MR. KNOWLES-KELLETT: Just trying to wait for him
- 3 to finish before he got it, and --
- 4 JUDGE STEINBERG: Okay.
- 5 MR. KNOWLES-KELLETT: -- simultaneously with his
- 6 last words.
- JUDGE STEINBERG: Either that, or let's go off the
- 8 record.
- 9 (Discussion off the record.)
- 10 MR. PEDIGO: Your Honor, let me confer with my co-
- 11 counsel.
- 12 (Pause.)
- MR. PEDIGO: Your Honor, no further questions.
- 14 JUDGE STEINBERG: I have a couple before you
- 15 start. Do you know the name -- are you familiar with the
- name of a company called Action Radio in probably the
- 17 Dallas/Fort Worth area?
- 18 THE WITNESS: No, Your Honor, I don't think so.
- 19 JUDGE STEINBERG: How about Pittencrieff,
- 20 P-I-T-T-E-N-C-R-I-E-F-F?
- 21 THE WITNESS: Yes. Yes.
- JUDGE STEINBERG: How are you familiar with
- 23 Pittencrieff, and then after that, who are they or what are
- 24 they?
- THE WITNESS: Pittencrieff, there was a company in

- 1 Hobbs , New Mexico called A&B Electronics. And A&B was a
- 2 fairly large radio dealer and one of their largest customers
- 3 was Pittencrieff, which is a -- Pittencrieff is a British
- 4 petroleum company, and Pittencrieff was one of the largest
- 5 customers of A&B, and subsequently bought out A&B and
- 6 entered the communications arena. And Pittencrieff became,
- 7 I guess, probably the second or third largest 800 SMR
- 8 operator in the country, and subsequently sold out to
- 9 Nextel.
- 10 JUDGE STEINBERG: And the Pittencrieff people are
- 11 nor probably in Tahiti or the Bahamas.
- 12 THE WITNESS: Right.
- JUDGE STEINBERG: Or wherever.
- 14 THE WITNESS: Right.
- JUDGE STEINBERG: Do you know if Pittencrieff
- 16 obtained licenses for the types of services we are talking
- 17 about today in multiple names?
- THE WITNESS: No, I don't know that. I know that
- 19 Pittencrieff --
- 20 JUDGE STEINBERG: Did you understand the question?
- 21 THE WITNESS: Oh, yeah.
- JUDGE STEINBERG: Basically, it would be a similar
- 23 situation where Mr. Brasher obtained or submitted
- 24 applications and obtained licenses in the names listed in
- 25 Exhibit 66. So he used multiple names to get licenses and

	1 .	to	tie	them	together	in	a	system
--	-----	----	-----	------	----------	----	---	--------

- 2 And what I want to know is you know whether
- 3 Pittencrieff did the same thing.
- 4 THE WITNESS: What I do know, Your Honor, is
- 5 Pittencrieff, to my knowledge, for the most part acquired
- 6 their licenses by buying up small radio dealers all over the
- 7 southwest and acquiring their licenses at the same time.
- 8 And the reason I know that is because when --
- 9 Pittencrieff only had an interest in 800 megahertz spectrum.
- 10 And yet in the process of buying up all of these little
- dealers around the country a lot of them, or a lot of the
- small dealers had been making a lot of VHF and UHF sales and
- what have you, and it ended up that Pittencrieff was sending
- me all applications for their customers who wanted licensing
- below 800 megahertz, and I would assist their customers
- 16 because Pittencrieff just didn't want to mess with it. They
- 17 just wanted 800.
- So to my knowledge, they acquired most of their
- 19 licenses buy buying up radio dealers that had license and
- then they acquired other licenses in the Pittencrieff name
- 21 as far as I know. And this is -- they -- I don't know.
- 22 Maybe I shouldn't say this but they kind of got the attitude
- 23 that they -- they didn't need to do anything subversive
- because they were like Nextel. They could get whatever they
- wanted anyway.

- JUDGE STEINBERG: How about did you ever hear something called Madback Communications?
- THE WITNESS: Yes, I know who Madback is.
- 4 JUDGE STEINBERG: Same question with respect to
- 5 them. Do you know whether they acquired licenses in
- 6 multiple names and tied them together into a system?
- 7 THE WITNESS: The only thing that I know about
- 8 Madback, I think that they were doing -- I think they were
- 9 doing some acquisition of license from other parties.
- 10 Now, I know that in the -- in the Dallas/Fort
- 11 Worth area, Madback had some 900 megahertz operations, and
- those do still remain today. They have to be licensed as a
- 13 community repeater. So if they constructed a 900 megahertz
- 14 repeater, every person using that system would have to get
- 15 their own license.
- 16 JUDGE STEINBERG: That would be user's license?
- 17 THE WITNESS: Yes, that's correct. So they may,
- 18 they may have applied for licenses for various people, but
- in reality maybe these various people were part of this one
- 20 community repeater or repeaters. So --
- JUDGE STEINBERG: Okay, how about -- oh, I'm
- 22 sorry.
- THE WITNESS: So I'm not aware of any -- go ahead.
- JUDGE STEINBERG: Next would be Randy Angles,
- 25 A-N-G-L-E-S. Do you know anything about --

- 1 THE WITNESS: Well, what I hear is strictly
- 2 hearsay. I have never had any dealings personally with
- Randy Angles, but I understand that he had had a lot of
- 4 dealings with the Federal Communications Commission.
- 5 JUDGE STEINBERG: Okay, let's -- I mean, we
- 6 wouldn't want hearsay in here.
- 7 (Laughter.)
- JUDGE STEINBERG: Let the record reflect humor.
- 9 Champion Communications?
- THE WITNESS: I know who they are.
- JUDGE STEINBERG: Do you know whether they --
- 12 THE WITNESS: I know they were aggregating a lot
- of UHF spectrum. If I'm not mistaken, they bought out all,
- 14 I think they bought all of Motorola's UHF community
- 15 repeaters nationwide. I believe that's correct.
- JUDGE STEINBERG: Did you know if they were
- applying for licenses using multiple names and tieing them
- into the same system?
- 19 THE WITNESS: No, I do not, Your Honor. That's
- 20 all I know about Champion.
- JUDGE STEINBERG: Okay. Let me go to Mr. Kellett.
- 22 And let me also say that Exhibit 13 is still outstanding,
- and from my perusal of Exhibit 16, there may be some
- 24 connection --
- 25 MR. KNOWLES-KELLETT: Okay, I apologize.

1	JUDGE STEINBERG: with this witness, and you
2	basically get it in now or forever hold your peace, or
3	whatever pages there were problems with.
4	MR. KNOWLES-KELLETT: Okay.
5	JUDGE STEINBERG: And I don't at this point
6	remember the basis of the objection or if it went to the
7	whole document or just parts of if, probably just parts.
8	MR. ROMNEY: As I recall, it was an incredibly
9	good objection, Your Honor.
10	(Laughter.)
11	MS. LANCASTER: As I recall, it was frivolous,
12	Your Honor.
13	(Laughter.)
14	REDIRECT EXAMINATION
15	BY MR. KNOWLES-KELLETT:
16	Q First of all, could you look at Exhibit 13?
17	A Yes.
18	Q My first question is do you recognize this as
19	coming from your documents?
20	JUDGE STEINBERG: Well, let's you want the
21	whole thing or
22	MR. KNOWLES-KELLETT: The whole thing.
23	JUDGE STEINBERG: Okay.
24	THE WITNESS: I think yeah, I furnished all of
25	this documentation. However, I was not directly related in

- 1 all of this paperwork.
- 2 MR. KNOWLES-KELLETT: Okay.
- 3 BY MR. KNOWLES-KELLETT:
- 4 Q The application that appears, I think the NABER
- 5 form is on page 3 and the application that follows, I think
- 6 page 4 may not be the complete copy of that.
- 7 Do you recognize page 4?
- 8 A Yes.
- 9 0 Is that an old 574?
- 10 A Yes, it is.
- 11 Q And that's -- is that not a complete 574?
- 12 A Well, other than the part that doesn't show, yes.
- 13 The 574 typically was one page.
 - 14 Q Okay. But there would have been a legal size
 - 15 page, is that --
 - 16 A Yes.
 - 17 Q Okay. Is that your handwriting on there?
 - 18 A Yes.
 - 19 JUDGE STEINBERG: And this came from your files,
 - 20 to the best of your knowledge?
 - 21 THE WITNESS: Yes, Your Honor.
 - JUDGE STEINBERG: Page 3 also?
- 23 THE WITNESS: Yes. And that is my handwriting
 - 24 also.
 - MR. KNOWLES-KELLETT: Okay.

- 1 BY MR. KNOWLES-KELLETT:
- 2 Q And so you pulled these out of your business
- 3 records?
- 4 A Yes.
- 5 Q Okay. Is this an application that you prepared on
- 6 behalf of Ron Brasher?
- 7 A Yes.
- JUDGE STEINBERG: What do you mean "this"?
- 9 MR. KNOWLES-KELLETT: The application beginning at
- 10 page 3.
- JUDGE STEINBERG: And you are extending to where,
- 12 to the end?
- MR. KNOWLES-KELLETT: I think all of it is related
- 14 documentation.
- JUDGE STEINBERG: Okay.
- MR. KNOWLES-KELLETT: To the end.
- 17 MR. ROMNEY: Excuse me. Could you repeat your
- 18 question?
- 19 BY MR. KNOWLES-KELLETT:
- 20 Q My question is, the documents beginning with page
- 21 3 of Exhibit 13 and extended to the end, is that an
- 22 application and related documents, and did you prepare the
- 23 application?
- 24 A Yes.
- Q Okay. I would like to break down that question.

- 1 Is it all -- is it correct that it's an
- 2 application and related documents?
- 3 A Yes.
- 4 Q Okay. And now did you prepare the application?
- 5 A Yes.
- 6 Q Okay. Sometimes when we get on the record, when
- you make a question, a compound question like that it's not
- 8 clear what you were asking.
- 9 (Pause.)
- 10 BY MR. KNOWLES-KELLETT:
- 11 Q Okay, and were all of the records kept in the
- 12 normal course of business in your files and you supplied
- 13 them to the FCC?
- 14 A Yes, that's correct.
- 15 Q Okay. Did you know at the time that you made this
- assignment application that Ruth Bearden was dead?
- 17 A No.
- 18 Q I believe you testified --
- 19 JUDGE STEINBERG: Do you want to move it into
- 20 evidence?
- MR. KNOWLES-KELLETT: Oh, yes, please. I would
- 22 like to move it into evidence, Your Honor.
- 23 MR. SCHWANINGER: We would like to voir dire just
- 24 a little bit, Your Honor.
- JUDGE STEINBERG: Sure.

1		VOIR DIRE
2	ВУ	MR. SCHWANINGER:
3	Q Jo	hn, I would direct your attention to page 6 of
4	that exhibit	. Do you recognize this document?
5	A Ye	S.
6	Q Wh.	at is it?
7	A It	's a money order to the FCC.
8	Q Fr	om whom?
9	A We	ll, I purchased it.
10	Q Ok	ay. What's the sum on this?
11	A \$1	25.
12	Q Wh	y would you have purchased a money order to be
13	made out to	the FCC for \$125?
14	A It	was an application fee.
15	Q Is	it your testimony that the application fee with
16	an assignmen	t application is \$125?
17	A No	•
18	Q The	en is it further your testimony that it is
19	possible that	t this particular money order doesn't go with
20	this assignme	ent application?
21	A No	, if I could clarify.
22	Q Ple	ease do.
23	A I'	m not all right, all of this paperwork was
24	furnished by	me. I did the work, and all except page 2,
25	which was an	existing license prior to the time that I even

- 1 knew Ron Brasher. What I can't tell here because this 574
- 2 is incomplete, it appears that this was supposed to be an
- assignment, but I'm not totally sure that it was actually
- 4 done as an assignment.
- 5 THE WITNESS: Now, Your Honor, if I could have
- another 30 seconds, I may have this stuff in my briefcase.
- JUDGE STEINBERG: Certainly.
- 8 (Pause.)
- 9 JUDGE STEINBERG: Go off the record.
- 10 (Pause off the record.)
- JUDGE STEINBERG: Mr. Black?
- 12 THE WITNESS: All right, this application --
- BY MR. SCHWANINGER:
- Q When you are saying "this application," are you
- 15 referring to page 4 --
- 16 A I am referring to --
- 17 O -- in the exhibit?
- 18 A I'm referring to -- yes, well, in its entirely,
- 19 Exhibit 13, but primarily starting with page 3 through the
- 20 end.
- 21 Q But we keep referring to an application, and I
- 22 can't find an application in here, John.
- 23 A The application is page 4, but you only have part
- 24 of it.
- Q Okay. Then it's your testimony you think I've got

- 1 the top half of an application?
- 2 A I think you have the top half and I have a copy of
- 3 the original that I prepared.
- 4 JUDGE STEINBERG: I think we might need the bottom
- 5 half too.
- 6 MR. SCHWANINGER: Yeah.
- 7 MS. LANCASTER: Your Honor, would you like me to
- 8 go make copies of the entire document?
- JUDGE STEINBERG: Well, if everyone needs them
- 10 right now, yes.
- MR. ROMNEY: Could we just look at it?
- 12 JUDGE STEINBERG: Certainly, come on up and look.
- 13 Absolutely.
- 14 MR. KNOWLES-KELLETT: See what happened is --
- 15 JUDGE STEINBERG: Okay, let's go off the record.
- 16 (Discussion off the record.)
- 17 JUDGE STEINBERG: Back on the record.
- 18 At an appropriate time what we will do is
- 19 photocopy the entire application, top and bottom, and then
- 20 substitute the pages if you want to make it one -- if you
- 21 just want to photocopy the bottom half now and make it page
- 4(a), that would be fine.
- 23 MR. SCHWANINGER: Did you bring copies of the --
- 24 original copies of what remains here in Exhibit 13 or is
- 25 that the only additional page you have?

- 1 THE WITNESS: Well, you've got copies -- I think
- 2 you have copies of everything else.
- MR. KNOWLES-KELLETT: He's asking if you have the
- 4 originals there. Do you have the originals of the money
- 5 orders and --
- 6 THE WITNESS: Well, yeah. Here's the -- here's
- 7 the copy of the money order.
- 8 MR. SCHWANINGER: I understand.
- 9 BY MR. SCHWANINGER:
- 10 Q What I am asking this question, did you keep these
- all in one separate manilla folder? Is that how you keep
- the records, and they would have all been filed by what,
- 13 call sign?
 - 14 JUDGE STEINBERG: Okay, let the record reflect
 - 15 that the answer to the manilla --
 - 16 THE WITNESS: Yes.
 - JUDGE STEINBERG: -- folder question was yes. The
 - 18 witness nodded his head yes.
 - 19 THE WITNESS: Yes. Thank you.
- They would have been -- they could -- it could
- 21 have been filed in several ways. Sometimes I file under
- call sign, and sometimes I file a group of applications
- 23 together for -- if I have a customer that does quite a bit
- of business with me, I may file all of his together.
- MR. SCHWANINGER: All right.